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1010 Rec'd PCT PTO 06 OCT 2001  
PCT PTO

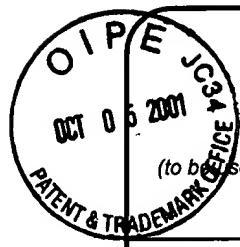
PTO/SB/21 (08-00)

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Approved for use through 10/31/2002. OMB 0651-0031

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

6

Application Number	09/889,351
Filing Date	July 17, 2001
First Named Inventor	PEDERSEN, Steven et al.
Group Art Unit	1723
Examiner Name	

Attorney Docket Number

4320-350

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<b>-REQUEST FOR CORRECTION</b>
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	<b>-RETURN RECEIPT POSTCARD</b>
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
	Remarks	

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	BERESKIN & PARR, Scott Pundack, 47,330
Signature	
Date	October 4, 2001

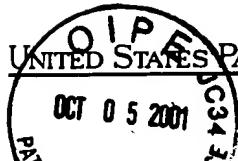
## CERTIFICATE OF MAILING

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BERESKIN &amp; PARR

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET-NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/889,351	11/17/2001	1723	940	4320-350	3	20	4

CONFIRMATION NO. 2663

## FILING RECEIPT



\*OC000000006477841\*

Bereskin & Parr  
 Box 401 40 King Street West  
 Toronto Ontario, M5H 3Y2  
 CANADA

Date Mailed: 08/27/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Steven Pedersen, Burlington, CANADA;  
 Pierre Cote, Dundas, CANADA;  
 Arnold Janson, Burlington, CANADA;  
 Nicholas Adams, Hamilton, CANADA;  
 Jason Cadera, Guelph, CANADA;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/CA00/01354 11/15/2000  
 WHICH IS A CIP OF 09/565,032 05/05/2000  
 WHICH IS A CIP OF 09/505,718 02/17/2000

## Foreign Applications

CANADA 2,290,053 11/18/1999  
 PCT PCT/CA99/01113 11/18/1999  
 CANADA 2,308,230 05/05/2000

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

Title

SEP 21 2001

Immersed membrane filtration system and overflow process

Preliminary Class

210

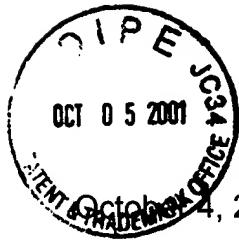
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Data entry by : NGUYEN, SON

Team : OIPE

Date: 08/27/2001





October 4, 2001

Barristers and Solicitors/Patent and Trade Mark Agents  
Practice Restricted to Intellectual Property Law

Scott R. Pundsack B.A.Sc. (Civil Eng.), LL.B.  
416 957 1698 spundsack@bereskinparr.com

Your Reference: 09/889,351  
Our Reference: 4320-350

## REQUEST FOR CORRECTION

Office of Initial Patent Examination's  
Customer Service Center  
The Commissioner of Patents  
and Trademarks  
Washington, D.C.  
U.S.A 20231

Dear Sir:

**Re: U.S. Patent Application Serial No.: 09/889,351**  
**Title: IMMERSED MEMBRANE FILTRATION**  
**SYSTEM AND OVERFLOW PROCESS**  
**Filed: July 17, 2001**  
**Group: 1723**  
**Applicant: Steven Pedersen et al.**

This is further to the Filing Receipt dated August 27, 2001. Please note that there are two foreign applications that were omitted from the foreign applications section of the Filing Receipt. Listed below are the two foreign applications that need to be added to the Filing Receipt.

- 1) Foreign Application No.: PCT/CA99/01113  
Filed: November 18, 1999  
Country: PCT
- 2) Foreign Application No.: 2,308,230  
Filed: May 5, 2000  
Country: Canada

A copy of the Initial Information Data Sheet, as filed, is enclosed for your reference together with a copy of the Filing Receipt adding the foreign applications.

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- 2 -

We look forward to receiving a corrected filing receipt incorporating the change.

Respectfully submitted,

**STEVEN PEDERSEN ET AL.**

Scott Pundsack

Scott R. Pundsack  
Registration No. 47,330  
/bp  
Encl.

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